

DECLARATION FOR PATENT APPLICATION



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As a below-named inventor, I hereby declare that:
My residence, post office address and citizenship is as stated below next to my
name.

I believe that I am the original, first and sole inventor (if only one name is
listed below) or an original, first and joint inventor (if plural names are listed below) of the
subject matter which is claimed and for which a patent is sought on the invention entitled
REAGENTS AND IMMUNOASSAY FOR THE DETECTION AND QUANTITATIVE
DETERMINATION OF MYCOTHIOIOL AND PRECURSORS THEREOF the specification
of which

_____ is attached hereto.

X was filed on April 26, 2000 (Attorney Docket No. UCSD1130-1)

as U.S. Application Serial No. 09/530,370

and was amended on _____

if applicable (the "Application").

I hereby authorize and request insertion of the application serial number of the
Application when officially known.

I hereby state that I have reviewed and understand the contents of the above-
identified specification, including the claims, as amended by any amendment referred to
above.

I acknowledge the duty to disclose to the United States Patent and Trademark
Office all information known to me to be material to patentability of the subject matter of the
Application as defined in Title 37, Code of Federal Regulations ("C.F.R."), § 1.56.

With respect to the Application, I hereby claim the benefit under 35 U.S.C.
Section 119(e) of any United States provisional application(s) listed below:

60/063,620
(Application Serial No.)

October 27, 1997
(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

With respect to the Application, I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability of the subject matter of the Application as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of the Application:

PCT/US98/22577
(Application No.)

October 23, 1998
(Filing Date)

Published (WO 99/21580)
(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Robert C. Fahey

Inventor's signature: _____

Date: _____

Residence: Del Mar, California

Citizenship: United States

Post Office Address: 13060 Caminito del Rocio, Del Mar, CA 92014

Full name of second inventor: Gerald L. Newton

Inventor's signature: Gerald L. Newton

Date: June 6, 2000

Residence: San Diego, California

Citizenship: United States

Post Office Address: 791 Gage Drive, San Diego, CA 92106

Full name of third inventor: Maria Margarita D. Unson

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 6933 Enders Avenue, San Diego, CA 92122

Full name of fourth inventor: Charles E. Davis

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 4343 Ampudia Street, San Diego, CA 92103

Full name of second inventor: Gerald L. Newton

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 791 Gage Drive, San Diego, CA 92106

Full name of third inventor: Maria Margarita D. Unson

Inventor's signature: *MDU*

Date: 5th June 2000

Residence: ~~San Diego, California~~ Kailua-Kona, Hawaii *MDU*

Citizenship: ~~United States~~ Philippines *MDU*

Post Office Address: 73-4464 Koluanaiki Rd., PMB 15, Kailua-Kona, HI 96740-9241
~~6933 Enders Avenue, San Diego, CA 92122~~ *MDU*

Full name of fourth inventor: Charles E. Davis

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 4343 Ampudia Street, San Diego, CA 92103

Full name of second inventor: Gerald L. Newton

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 791 Gage Drive, San Diego, CA 92106

Full name of third inventor: Maria Margarita D. Unson

Inventor's signature: _____

Date: _____

Residence: San Diego, California

Citizenship: United States

Post Office Address: 6933 Enders Avenue, San Diego, CA 92122

Full name of fourth inventor: Charles E. Davis

Inventor's signature: Charles E. Davis

Date: June 22, 2000

Residence: San Diego, California

Citizenship: United States

Post Office Address: 4343 Ampudia Street, San Diego, CA 92103

Full name of fifth inventor: Sara J. Angerberg^{d SA}

Inventor's signature: Sara Angerberg

Date: 6/5/00

Residence: Encinitas, California

Citizenship: United States

Post Office Address: 470 Delage Court, Encinitas, CA 92024

Attorney Docket No.: UCSD1130-1

Applicant: Fahey et al.

Serial No.: 09/530,370

Filed: April 26, 2000

Title: **REAGENTS AND IMMUNOASSAY FOR THE DETECTION AND QUANTITATIVE DETERMINATION OF MYCOTHOL AND PRECURSORS THEREOF**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§ 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: **THE REGENTS OF THE UNIVERSITY OF CALIFORNIA**
ADDRESS OF ORGANIZATION: **1111 Franklin Street, Fifth Floor
Oakland, California 94607-5200**

TYPE OF ORGANIZATION

- ☒ University or other Institution of Higher Education
☐ Tax Exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c) (3))
☐ Nonprofit Scientific or Educational under Statute of State of the United States of America (Name of State _____) (Citation of Statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit Scientific or Educational under Statute of State of the United States of America if located in the United States of America (Name of State _____) (Citation of Statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, with regard to the invention entitled **REAGENTS AND IMMUNOASSAY FOR THE DETECTION AND QUANTITATIVE DETERMINATION OF MYCOTHOL AND PRECURSORS THEREOF** by inventors **Robert C. Fahey; Gerald L. Newton; Maria Margarita D. Unson; Charles E. Davis and Sara J. Angerberg** as described in

- ☐ the specification filed herewith
☒ application Serial No. 09/530,370 filed April 26, 2000
☐ Patent No. _____, issued _____

I authorize and request insertion of the serial number of the application when officially known.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. § 1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 C.F.R. § 1.27).

Full Name _____

Address _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

Full Name _____

Address _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 C.F.R. § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING

ALAN PAAU

TITLE IN ORGANIZATION

DIRECTOR

ADDRESS OF PERSON SIGNING

UCSD 9500 Gilman Dr. La Jolla, CA 92093

SIGNATURE

Alan Pau

DATE

6/12/2000

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